

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
FOR THE UNITED STATES RECEIVING OFFICE OF THE
PATENT COOPERATION TREATY

Applicant/Inventor : CAPLYGIN, Dimitri
U.S. Serial No. : 10/018,733
U.S. Filing Date : 18 December 2001 (18.12.2001)
International Patent
Application No.: : PCT/AU00/00661
International Filing Date : 15 June 2000 (15.06.2000)
Earliest Claimed Priority : 15 June 1999 (15.06.1999)
Title of Invention : SYSTEM FOR ENHANCEMENT OF
NEUROPHYSIOLOGICAL PROCESSES
Examiner : To Be Assigned
Group Art Unit : To Be Assigned

Box PCT
Attention: PCT Legal Division
Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF EXPRESS MAIL UNDER 37 CFR §1.10

Express Mail Label # EL803248045US
Date of Deposit April 10 2002

I hereby certify that this paper and/or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and is address to: Box PCT, Attention: PCT Legal Division, Asst. Commissioner for Patents, Washington, DC 20231.

Belinda J. Hunter
HUNTER, Belinda J.

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§1.56, 1.97 AND §1.98

S I R :

Pursuant to the duty of disclosure under 37 CFR §§1.56, 1.97. and 1.98, applicant submits herewith an *Information Disclosure Statement* along with cited references listed on Form PTO-1449.

These references were cited in the *International Search Report* dated 8 September 2000 (08.09.2000) issued by the Patent Cooperation Treaty organization in counterpart International Patent Application No. PCT/AU00/00661. A copy of the *International Search Report* is also

enclosed herewith. However, the following references cited therein: WO 98/44848, WO 95/29447, U.S. Patent No. 5,363,154 and U.S. Patent No. 4,474,186 must be obtained separately and will be submitted at a later date.

Inasmuch as the subject application has not yet received a first Office Action on the merits, this information is believed to be timely filed under 37 CFR §1.97(b)(3). Accordingly, consideration of the enclosed references and entry into the record are respectfully requested.

It is believed that no fee for filing this *Information Disclosure Statement* is now due. If it is determined that any fee is required, the Commissioner is hereby authorized to charge any such fee to Deposit Account No. 07-1730. A duplicate of this sheet is enclosed herewith.

Dated: April 9, 2002
New York, New York

Respectfully submitted,

GOTTLIEB RACKMAN & REISMAN PC
Attorneys for Applicant
270 Madison Avenue
New York, New York 10016-0601
Telephone: 212/684-3900
Telefax: 212/684-3999

By: 

CHURCHILL Jr., Raymond B.
Reg. No. 44,617

S:\belinda\applic\ids\44023.wpd

Please type a plus sign (+) inside the box →

PTO/SB/08A (10-96)
Approved for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
		Application Number		10/018,733	
		Filing Date		18 December 2001 (18.12.2001)	
		First Named Inventor		CAPLYGIN, Dimitri	
		Group Art Unit		To Be Assigned	
		Examiner Name		To Be Assigned	
		Attorney Docket Number		4402-003	
Sheet	1	of	2		

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	A	5.377.100	A	Pone et al	12.27.1994	See International Search Report
	B	5,852,489	A	Chen	12.22.1998	See International Search Report
	C	5.920.374	A	Vaohiades et al	07.06.1999	See International Search Report
	D					
	E					
	F					
	G					
	H					
	I					
	J					
	K					
	L					
	M					
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	U	CA	2,012,863	A1	Brian W Tanslev et al	09.22.1991	See Intl Search Rpt	Y
	V							
	W							
	X							
	Y							
	Z							
	AA							
	AB							
	AC							
	AD							

Examiner Signature	Date Considered
--------------------	-----------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside the box → ☐

PTO/SB/08B (10-96)
Approved for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO		Complete if Known	
		Application Number	10/018,733
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Filing Date	18 December 2001 (18 12 2001)
		First Named Inventor	CAPLYGIN, Dimitri
		Group Art Unit	To Be Assigned
		Examiner Name	To Be Assigned
		Attorney Docket Number	4402-003
Sheet	2	of	2

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	AE	PCT International Search Report; PCT/AU00/00661 (WO 00/77760); International Filing Date 15 June 2000; Applicant: Dimitri CAPLYGIN	
	AF		
	AG		
	AH		
	AI		
	AJ		

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.